



Please type a plus sign (+) inside this box →

+

2644
05/06/03

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/863,169	
	Filing Date	May 22, 2001	
	First Named Inventor	MATSUURA Masaya	
	Group Art Unit	2644 APR 22 2003	
	Examiner Name	Mei, Xu Technology Center 2600	
Total Number of Pages in This Submission	5	Attorney Docket Number	72755 [00P286PS-US01]

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- Form PTO-1449
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	- copies of nine (9) references
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	- Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard E. Wawrzyniak, Reg. No. 36,048 FITCH, EVEN, TABIN & FLANNERY
Signature	
Date	4/18/03

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 18, 2003			
Typed or printed name	Pamela M. Stone		
Signature		Date	April 18, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matsuura et al.
Application No.: 09/863,169
Filed: May 22, 2001
For: AUDIO PROCESSING AND IMAGE
GENERATING APPARATUS,
AUDIO PROCESSING AND IMAGE
GENERATING METHOD, RECORDING
MEDIUM AND PROGRAM
Group Art Unit: 2644
Examiner: Mei, Xu

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent & Trademark Office, Washington, DC 20231 on this date.

April 18, 2003
Date

Pamela M. Stone
Pamela M. Stone

RECEIVED

APR 22 2003

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

This Information Disclosure Statement is submitted:

X Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits.

X Under 37 CFR §1.97(c) together with either:
X a statement under 37 CFR §1.97(e), or
a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

X Statement under 37 C.F.R. § 1.97(e)(1): The undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the

Information Disclosure Statement
U.S. App. No. 09/863,169

subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with nine (9) references listed as items AA-AI.

Copies of all of the items listed on the attached Form PTO-1449 are enclosed.

Concise Explanation of Relevance

Items AA, AB, AC, AD, AF, AG, AH and AI listed on the Form PTO-1449 are not in the English language.

A concise explanation of the relevance of items AA, AB, AC, AD, AF, AH and AI are in the form of a copy of a Japanese "Rejection of the Application" mailed January 21, 2003, issued over the corresponding Japanese Patent Application, that was mailed from a foreign patent office and issued in a counterpart foreign application, which refers to the references. The Japanese "Rejection of the Application" mailed January 21, 2003 is listed as item AG and a copy is included herewith. An English-language translation of portions of the Japanese "Rejection of the Application" mailed January 21, 2003 is also included herewith.

In addition, items AA, AB, AC and AF include an English-language abstract.

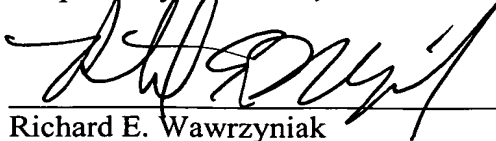
Items AD and AE (which is in the English language) appear to claim priority to the same document.

Item AH and AI include partial English-language translations.

It is requested that the information provided herein be made of record in this application.

Dated: 4/18/03

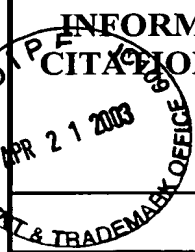
Respectfully submitted,



Richard E. Wawrzyniak
Reg. No. 36,048

Address all Correspondence to:

FITCH, EVEN, TABIN & FLANNERY
Richard E. Wawrzyniak
120 So. LaSalle Street
Suite 1600
Chicago, IL 60603
Telephone: (858) 552-1311
Facsimile: (858) 552-0095

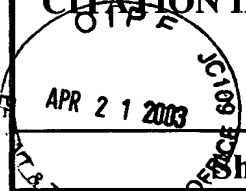
Form PTO-1449 (Modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION 	Application No.	09/863,169
	Filing Date	May 22, 2001
	First Named Inventor	MATSUURA, Masaya
	Group Art Unit	2644
	Examiner Name	Mei, Xu
Sheet 1 of 2	Attorney Docket No.	72755 [00P286PS-US01]

U.S. PATENT DOCUMENTS									
EXAMINE R INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
									APR 22 2003

Technology Center 2600

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO
	AA		JP	11-149565	A	06/02/1999			X (Abstract only)	
	AB		JP	9-251368	A	09/22/1997			X (Abstract only)	
	AC		JP	8-293039	A	11/05/1996			X (Abstract only)	
	AD		JP	7-65143	A	03/10/1995				X
	AE		EP	0 638 861	A1	02/15/1995			X	
	AF		JP	6-348811	A	12/22/1994			X (Abstract only)	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AG		JAPANESE PATENT OFFICE; "Rejection of the Application"; mailing date January 21, 2003; (5 pages, including two-page English translation of portions).

Form PTO-1449 (Modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION 	Application No.	09/863,169
	Filing Date	May 22, 2001
	First Named Inventor	MATSUURA, Masaya
	Group Art Unit	2644
	Examiner Name	Mei, Xu
Sheet 2 of 2	Attorney Docket No.	72755 [00P286PS-US01]

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AH		"Introduction of a Japanese Waveform Editing Software for Windows ('DigiOnSound' capable of linking moving images)" DTM Magazine; Vol. 6, No. 8; Terajima Joho Kikaku Co. Ltd.; August 1, 1999; 2 pages (including one-page partial English translation).
	AI		"Check & Report", Sound Forge 4.5, Sonic Foundry; DTM Magazine; Vol. 6, No. 1; Terajima Joho Kikaku Co. Ltd.; January 1, 1999; 3 pages (including one-page partial English translation).

RECEIVED

APR 22 2003

Technology Center 2600

Examiner Signature		Date Considered	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			